



*Sector AF*

Applicants: Clifton A. Alferness, John M. Adams, and John Meimoth Power  
Serial No.: 09/855,945  
Filing Date: May 14, 2001  
Title: MITRAL VALVE THERAPY DEVICE, SYSTEM AND METHOD  
Attorney Docket No.: 1931-2

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited in the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Box MISSING PARTS, Washington, D.C. 20231, on this 14th day of August, 2001.

  
Signature

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

In response to the Notice to File Missing Parts of Application dated July 18, 2001,

Applicants submit:

- XX 1. An executed Combined Declaration and Power of Attorney in the above identified U.S. patent application.
- XX 2. A copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION.
- XX 3. An Information Disclosure Statement with references (4).

FEE COMPUTATION

Filing Fee (\$710.00/\$355.00) \$ N/A

Surcharge Fee (\$130.00/\$65.00) \$ 65.00

TOTAL: \$ 65.00

- XX 4. Check No. 17466 for surcharge fee.
- \_\_\_ 5. Charge \$ \_\_\_\_\_ to Deposit Account No. \_\_\_\_\_. A copy of this sheet is enclosed.
- \_\_\_ 6. Please charge any additional fees or credit any overpayment to Deposit Account No. 07-1897.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

*Richard O. Gray, Jr.*

Richard O. Gray, Jr.  
Attorney for Applicant  
Registration No. 26,550  
155 - 108th Avenue NE, Ste. 350  
Bellevue, WA 98004  
(425) 455-5575



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/855,945	05/14/2001	Clifton A. Alferness	1931-2

CONFIRMATION NO. 1454

## FORMALITIES LETTER



\*OC000000006312836\*

GRAYBEAL JACKSON HALEY LLP  
Suite 350  
155-108th Avenue N.E.  
Bellevue, WA 98004-5901

Date Mailed: 07/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/17/2001 MBELETE1 00000070 09855945

01 FC:205

65.00 OP